

## (l)(a) STATEMENT SHOWING SHAREHOLDING PATTERN

**Name of the Company:** NORTH EASTERN PUBLISHING & ADVT. CO. LTD

Scrip Code:

Name of the Scrip:

Class of security:

**Quarter Ended: 30/09/2015**

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group			
Held by public			
Total - D			
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			
Held by public			
Total - E			
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group			
Held by public			
Total - F			
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	<b>40506000</b>		

Category Code	Category of Shareholders	No. of Holders	No. of Shares	No. of Shr. in DRMAT	% of (A+B)	% of (A+B+C)	No. of Pledged Shr. (VII)	% of (IX)=(VII)/(IV)*100
(A)	Total Shareholding of Promoter & Promoter Group							
'(1)	Indian							
(a)	Individual/HUF	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Bodies Corporate	5	22614300	Nil	55.83	55.83	Nil	Nil
(d)	Fin.Institutions / Bank	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(1)	5	22614300	Nil	55.83	55.83	Nil	Nil
'(2)	Foreign							
(a)	Individuals (NRIs/Foreign)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b)	Bodies Corporate	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Institutions	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(2)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Shareholding of Promoter & Promoter Group (A) = (A)(1)+(A)(2)	5	22614300	Nil	55.83	55.83	Nil	Nil
(B)	Public Shareholding							
'(1)	Institutions							
(a)	Mutual Funds / UTI	Nil	Nil	Nil	Nil	Nil		
(b)	Fin.Institutions / Banks	Nil	Nil	Nil	Nil	Nil		
(c)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil		
(d)	Venture Capital	Nil	Nil	Nil	Nil	Nil		
(e)	Insurance Company	Nil	Nil	Nil	Nil	Nil		
(f)	Foreign Inst.Investors	Nil	Nil	Nil	Nil	Nil		
(g)	Foreign VC Investors	Nil	Nil	Nil	Nil	Nil		
(h)	QFI - Corporate	Nil	Nil	Nil	Nil	Nil		
(l)	Any Other	Nil	Nil	Nil	Nil	Nil	NA	NA
	Sub-Total (B)(1)	Nil	Nil	Nil	Nil	Nil	NA	NA
'(2)	Non-Institutions							
(a)	Bodies Corporate	13	17784960	1750000	43.907	43.907	NA	NA
(b)	Individuals							
	i.Individual Shareholders Holding Nominal Share Upto Rs.1 Lakh	1012	106740	Nil	0.264	0.264	NA	NA
	ii.Individual Shareholders Holding Nominal Share greater than Rs.1 Lakh	Nil	Nil	Nil	Nil	Nil	NA	NA
(a)	QFI - Individual	Nil	Nil	Nil	Nil	Nil	NA	NA
(d)	Any Other							
	Sub-Total (B)(2)	1025	17891700	1750000	44.17	44.17	NA	NA
	Total Public Shareholding							

	(B) = (B)(1) + (B)(2)	1025	17891700	1750000	44.17	44.17	NA	NA
	TOTAL (A)+(B)	1030	40506000	1750000	100	100	Nil	Nil
(C)	Shares held by Custodians And against which DRs have been issued	Nil	Nil	Nil	Nil	Nil	NA	NA
'(1)	Promoter and Promoter group	Nil	Nil	Nil	Nil	Nil	NA	NA
'(2)	Public	Nil	Nil	Nil	Nil	Nil	NA	NA
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>1030</b>	<b>40506000</b>	<b>1750000</b>	<b>100</b>	<b>100</b>	<b>Nil</b>	<b>Nil</b>



As a % total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
(xi)	(xii)
0	12.6
0	12.183
0	14.073
0	10.887
0	6.086
Nil	55.83

**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category [PUBLIC] and holding more than 1.000(%) of the total number of shares as on 30/09/2015**

Sri No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	CHEMO TRADERS PVT. LTD.	1825590	4.507	0	0	0	0	4.507
2	GULMOHUR TRADING PVT. LTD.	600000	1.481	0	0	0	0	1.481
3	KAMINI FINANCE & INVESTMENT CO. LTD.	1677000	4.14	0	0	0	0	4.14
4	MAURYA TRADING CO. LTD.	543600	1.342	0	0	0	0	1.342
5	MEGA RESOURCES LTD.	2000000	4.938	0	0	0	0	4.938
6	MERLIN RESOURCES PRIVATE LTD.	1750000	4.32	0	0	0	0	4.32
7	RUKMINI PROPERTIES PVT. LTD.	1600000	3.95	0	0	0	0	3.95
8	SMIFS CAPITAL MARKETS LTD.	4045400	9.987	0	0	0	0	9.987
9	VISISTH CHAY VYAPAR LTD.	1775000	4.382	0	0	0	0	4.382
10	WISE INVESTMENTS PVT. LTD.	1300000	3.209	0	0	0	0	3.209
	<b>TOTAL</b>	<b>17116590</b>	<b>42.257</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>42.257</b>

**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category [PUBLIC] and holding more than 5.000(%) of the total number of shares of the company as on 30/09/2015**

<b>Srl No.</b>	<b>Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them</b>	<b>Number of shares held</b>	<b>Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}</b>	<b>Number of warrants held</b>	<b>As a % total number of warrants of the same class</b>	<b>Number of convertible securities held</b>	<b>% w.r.t total number of convertible securities of the same class</b>	<b>Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital</b>
1	SMIFS CAPITAL MARKETS LTD.	4045400	9.987	0	0	0	0	9.987
	<b>TOTAL</b>	<b>4045400</b>	<b>9.987</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>9.987</b>

**(I)(d) STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES As on : 30/09/2015**

<b>Srl</b>	<b>Name of the Shareholder</b>	<b>Category of Shareholders (Promoter/Non-Promoter)</b>	<b>Number of Shares</b>	<b>% To Total</b>
1			Nil	Nil



**(II)(a) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRs) As on : 30/09/2015**

<b>Sri.</b>	<b>Type of Outstanding DR (ADRs, GDRs, SDRs, etc)</b>	<b>Number of Outstanding DRs</b>	<b>Number of Shares underlying outstanding DRs</b>	<b>Shares underlying Outstanding DRs as a Percentage of total number of shares(ie. Grand total(A)+(B)+ (C) indicated in Statement at para (I)(a) above)</b>
<b>1</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

**(II)(b) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRs), WHERE UNDERLYING SHARES HELD BY 'PROMOTER/PROMOTER GROUP' ARE IN EXCESS OF 1% OF THE TOTAL NO.OF SHARES**

Srl.	Name of the DR Holder	Type of outstanding DR (ADRs,GDRs SDRs,etc.)	Number of Shares Underlying outstanding DRs	Shares underlying Outstanding DRs as Percentage of total number of shares(ie. Grand total(A)+(B)+(C) indicated in statement at para (I)(a) above
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
<b>TOTAL</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>